CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 28, 2000.

Astorney for Applicant

PATENT APPLICATION Docket No. ST9-99-134US3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Lynh Nguyen	)
Serial No.:	(not yet known)	)
Filing Date:	December 28, 2000	)
For:	DATA SOURCE INTERFACE ENHANCED ERROR RECOVERY	)

# **INFORMATION DISCLOSURE STATEMENT**

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and/or his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure

Statement shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability

as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes

and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other

information being submitted for consideration.

The patents, publications and other items of information listed in the enclosed Form

PTO-1449 were previously cited by and/or submitted to the Patent and Trademark Office in

Applicant's prior application Serial No. 09/612,534 (filed July 7, 2000 for "Live Connection"

Enhancement for Data Source Interface"), which is being relied upon for an earlier filing date

under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), therefore, copies of these

patents, publications and other items of information are not being submitted with this

Statement.

Respectfully submitted,

John R. Thompson

Rég. No. 40,842

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Date: December 28, 2000

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FORM PTO-1449	SERIAL NO. (not yet assigned)	ATTORNEY DOCKET NO. ST9-99-134US3	g 5
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION	FILING DATE December 28, 2000	GROUP ART UNIT	750
DISCLOSURE STATEMENT  (use several sheets if necessary)	APPLICANT(S):	Nguyen	100 100 100 100 100 100 100 100 100 100

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	Α1	5,758,351	05/26/98	Gibson et al.	707/104	03/01/95
	Α2	5,023,873	06/11/91	Stevenson et al.	371/8.2	06/15/89

## **NON-PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages
	А3	Lynh Nguyen; "Live-Connection System for Net.Data"; Net.Data @ IBM Software; pp.1.
	Α4	"Net.Data"; IBM Net.Data: More Information; pp. 1.
	A5	"IBM Net.Data"; IBM Security: Secure Way Product: Net.Data; pp.1.
	A6	"Net.Data"; IBM Software: Database and Data Maroduct Family: Net Data: Overview; pp. 1.
	Α7	"Net.Data <sup>™</sup> Version 2 Deploying Dynamic Data to the Web"; IBM Net.Data Brochure: Deploying Dynamic Data to the Web; pp. 1-4.
	A8	"S/390 Division"; Enterprise Server Essentials; pp. 1-19.
	А9	"DB2 DataJoiner"; IBM DB2 DataJoiner Product News; pp. 1.
	A10	"DB2 Product Family"; IBM Software: Database and Data Maent: DB2 Product Family: Overview; pp.1-3.
	A11	"Question and Answer"; DB2 Server for VSE & VM - tune queries after migration; pp. 1-2.
	A12	"Celebrating IBM Data Management White Paper September 1998"; IBM Data Management White Papers - Celebrating IBM Data Management; pp. 1-17.
	A13	"Data Management White Papers"; Data Management White Pages; pp. 1-10.

EXAMINER	DATE CONSIDERED

A14	"Introduction to Databases for the Web"; Introduction to Databases for the Web/ Hierarchical Databases; pp.1-3.
A15	"Introduction to Databases for the Web"; Introduction to Databases for the Web/Network Databases; pp. 1-2.
A16	"Conditional Selection"; Learn SQL - Conditional Selection; pp. 1-2.
A17	"Basics of the Select Statement"; Learn SQL - Basics of the Select Statement; pp. 1-2.
A18	"The DB2 Family An Analysis of the Business Benefits Available"; DB2 For Business; Rob Hailstone; White Paper Number 98.01; pp. 1-40.
A19	"Application Productivity Tools"; DB2 Notes (From White Paper); Susan Gausden; pp. 1-4.
A20	"Application Productivity Tools"; DB2 notes (from white paper); Susan Gausden; pp. 1-46.

EXAMINER	DATE CONSIDERED